

PARTICIPANT SUITABILITY PROFILE

This form must be completed by all GWFS Equities, Inc. Registered Representatives during initial one-on-one meetings with Participants.

City of Riverside Employee's Deferred Compensation Plan 98246-01

Participant Information

Last NameFirst NameMISocial Security Number

Address - Number & Street

CityStateZip Code

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Home PhoneWork Phone

E-Mail Address

MoDayYear

Date of Birth

FemaleMale

MarriedUnmarried

The Securities Exchange Act of 1934 requires that we have reasonable grounds to believe that your investment selections are suitable given your objectives and financial situation. We must base our decision on information you provide below. When selecting your contribution % and investment options, please also consider:

- your current tax status and what impact pre-tax Plan contributions and tax deferral on contributions and earnings would have on your tax status;
- your current liquid net worth (i.e., readily available assets set aside for short-term needs or emergencies), since withdrawals of funds from your retirement Plan are restricted by Plan provisions.

Investor Profile Quiz

Strongly Disagree

Strongly Agree

1. I am a knowledgeable investor who understands the trade-off between risk and return, and I am willing to accept a greater degree of risk to gain the potential for higher returns.

1

2

3

4

5

2. I am willing to invest on a long-term basis.

1

2

3

4

5

3. If one of my investments dropped 20% in value over six months due to a stock market fluctuation, I would hold on to that investment, expecting to recover its value.

1

2

3

4

5

4. I have sources of savings other than my retirement Plan that make me feel secure about my financial future.

1

2

3

4

5

Enter score at right =>

My total score is:

5. Time Horizon (Number of years until you expect to retire and/or take distributions)

More than 30 years

11 to 29 years

10 or fewer years

Using your results from the investment profile quiz and the number of years you have remaining until retirement, use the chart below to determine which Investment Profile best suits your needs.

Years to Retirement	Quiz Score 15-20	Quiz Score 9-14	Quiz Score 4-8
30 or more	Aggressive	Moderately Aggressive	Moderate
11 - 29	Moderately Aggressive	Moderate	Moderately Conservative
10 or fewer	Moderate	Moderately Conservative	Conservative

6. What is your overall Investment Profile?

Aggressive

Moderately Aggressive

Moderate

Moderately Conservative

Conservative

My initials acknowledge that the investment allocation I've chosen is not in accordance with my investor profile, and that I'm willing to take on the associated risks with my investment selection. (initial)

For more information on investment options, including fees and expenses, please obtain a current prospectus and/or disclosure documents from your Registered Representative. Read them carefully before investing.

Participant Signature

Date

GWFS Equities, Inc.
8525 E. Orchard Road
Greenwood Village, CO 80111

Registered Representative Signature

Date